CS6216 Advanced Topics in Machine Learning (Systems)

Application systems: server design, AI agents and RAGs

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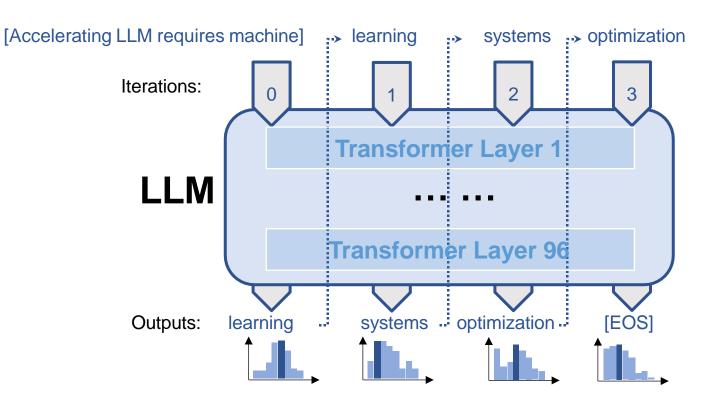
## Application systems: outline

- Server design
- Retrieval Augmented Generation (RAG)
- Al agents

# Recap: HW3 LLM incremental decoding

### What's happening

- KV cache initialization & loading
- Model forward propagation
- Decoding algorithm
- Stopping criterion
- Tokenizer



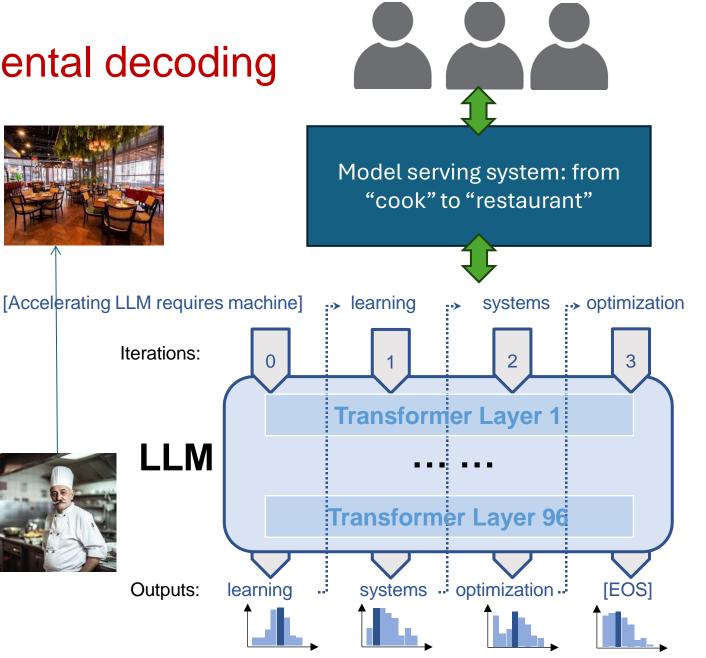
# Recap: HW3 LLM incremental decoding

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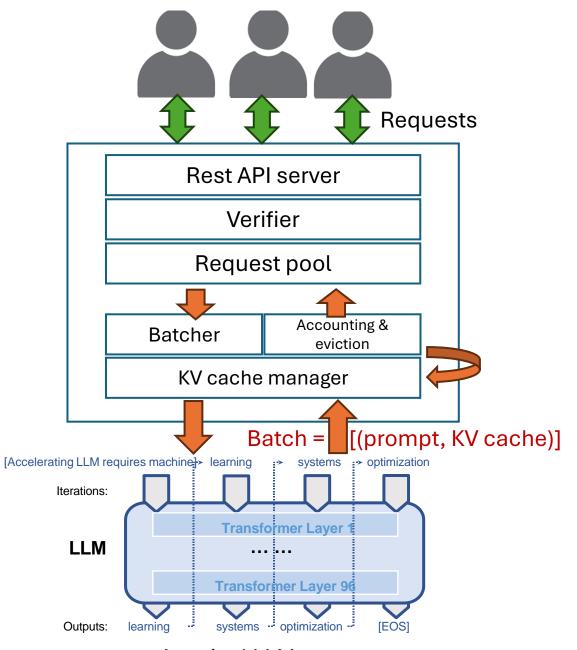
### What's missing

- API server
- Queueing & batching
- Accounting & perf stats



### Server workflow

- **Rest API server** Handles LLM and control requests.
- Verifier checks if models, user access (authorization), and generation parameters are valid. Handle errors.
- **Request pool** stores generation requests, their states and outputs.
- **Batcher** assembles the next batch to compute by fetching new or on-going queries from the pool. Note: the amount that can be processed in a batch can be less than the request pool.
- Accounting & eviction counts tokens and removes finished, force stops (due to user interrupt, low account balance etc.) queries.
- **KV cache manager** initializes and maintains the kv cache to use for each query, offload or evict if necessary.



A typical LLM server

# **Batching and eviction logics**

• A two-stage solution (solution from TGI, other solutions exist)

#### **New queries**

[Req 1, Prompt 1, KV cache 1, state\_new] [Req 2, Prompt 2, KV cache 2, state\_new]

[Req 3, Prompt 3, KV cache 3, state\_decode] [Req 4, Prompt 4, KV cache 4, state\_decode]

[Req k, Prompt k, KV cache k, state\_decode]

Request pool Taking which? FIFO or by \$\$ [Req 1, Prompt 1, KV cache 1, state\_new] [Req 2, Prompt 2, KV cache 2, state\_new] [Reg k, Prompt k, KV cache k, state\_decode]

#### Input batch – Step 1

Pick new queries, initialize KV cache, send for prefill



[Req 1, Prompt 1 + 1 tk, KV cache 1, state\_decode] [Req 2, Prompt 2 + 1 tk, KV cache 2, state\_decode] [Req k, Prompt k, KV cache k, state\_decode]

### Input batch – Step 2 decode



[Req 1, Prompt 1 + 1 tk, KV cache 1, state\_decode] [Req 2, Prompt 2 + 1 tk, KV cache 2, state\_decode] [Reg k, Prompt k + 1 tk, KV cache k, state\_finish]

Input batch – Step 3 eviction, update pool

### Other useful modules

### Session management

- In chat applications, some conversations last very long. This results in KV cache getting "stuck" in GPU memory.
- Offload KV cache to lower tiers of memory/storage. Simple solutions include FIFO, LRU-k etc. Some better solutions such as InfiniGen, OSDI 2024.

### Perf stats and profiling

- Prometheus for systems monitoring
- Nvidia nsight for GPU perf. profiling
- Debugging and testing
  - So far, hand crafted solutions only
  - Some simple sanity checks:
    - Unit tests, logits comparisons
    - "Secret" testing prompts

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### **API server**

- REST APIs with the OpenAI standard
  - Stream, complete, chat, assistant APIs
  - Platform & runtime independent



- Easy-to-use, off-the-shelf Python libraries: Uvicorn, FastAPI
- High performance REST servers available: Actix-web (Rust)
- Good ones can be 10x faster, but API server is a small overhead, relatively
- Geo-distributed? Highly available systems?

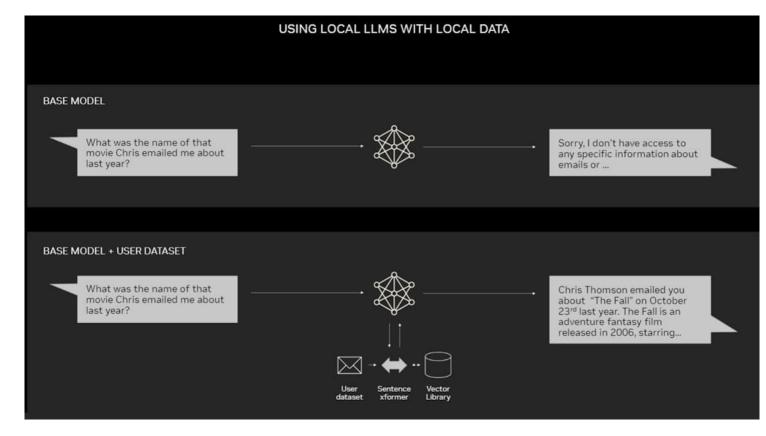
## Application systems: outline

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### **Retrieval Augmented Generation (RAG)**

### **Directly using LLMs faces problems**

- Information lag
- Model hallucination
- Hard to incorporate proprietary data



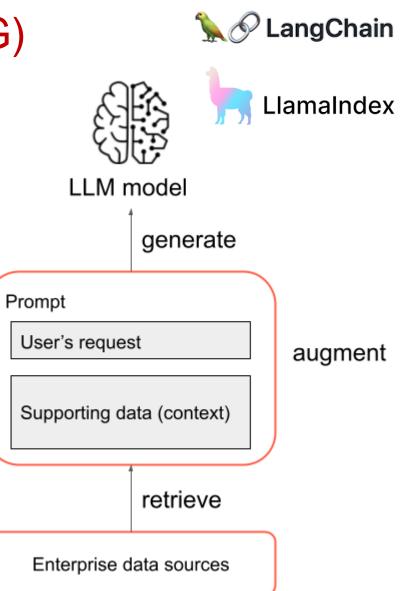
## **Retrieval Augmented Generation (RAG)**

### **Directly using LLMs faces problems**

- Information lag
- Model hallucination
- Hard to incorporate proprietary data

### Instead, we need RAG =

- <u>Retrieval</u>: The user's request is used to query some external info - querying a vector store, a keyword search over text, or querying a database. This is to obtain supporting data / context that helps the LLM provide a useful response.
- <u>Augmentation</u>: The supporting data / context is combined with the user request, often using a template with instructions to the LLM, to create a prompt.
- <u>Generation</u>: The LLM generates a response to the prompt.



With an LLM alone	Using LLMs with RAG
<b>No proprietary knowledge:</b> LLMs are generally trained on publicly available data, so they cannot accurately answer questions about a company's internal or proprietary data.	<b>RAG applications can incorporate proprietary data</b> : A RAG application can supply proprietary documents such as memos, emails, and design documents to an LLM, enabling it to answer questions about those documents.
Knowledge isn't updated in real time: LLMs do not have access to information about events that occurred after they were trained. For example, a standalone LLM cannot tell you anything about stock movements today.	<b>RAG applications can access real-time data:</b> A RAG application can supply the LLM with timely information from an updated data source, allowing it to provide useful answers about events past its training cutoff date.
<b>Lack of citations:</b> LLMs cannot cite specific sources of information when responding, leaving the user unable to verify whether the response is factually correct or a hallucination.	<b>RAG can cite sources:</b> When used as part of a RAG application, an LLM can be asked to cite its sources.
Lack of data access controls (ACLs): LLMs alone can't reliably provide different answers to different users based on specific user permissions.	<b>RAG allows for data security/ACLs:</b> The retrieval step can be designed to find only the information that the user has credentials to access, enabling a RAG application to selectively retrieve personal or proprietary information.

# **RAG** workflow

#### (Offline) Preprocess

- Chunking documents with simple heuristics (1)
- Compute embeddings w/ a pre-trained model (2)
- Indexing & store the embeddings in a database (2)

#### (Online) When a user query comes

- Compute embedding for the user query (3)
- Retrieve relevant embeddings from the database (4)
- Assemble a prompt, send it to LLM for result (5-7)

#### Example: Ask "How many employees?" to an SEC filing



~100 pages, tables, text

"Retrieved" context from the document:

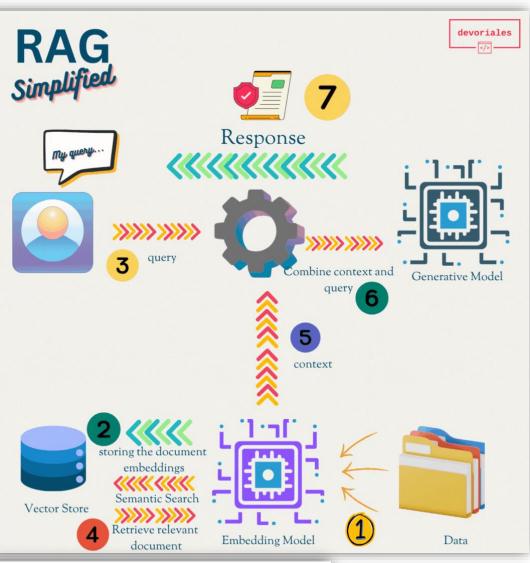
#### Backlog

In the Company's experience, the actual amount of product backlog at any particular time is not a meaningful indication of its future business prospects. In particular, backlog often increases immediately following new product introductions as customers anticipate shortages. Backlog is often reduced once customers believe they can obtain sufficient supply. Because of the foregoing, backlog should not be considered a reliable indicator of the Company's ability to achieve any particular level of revenue or financial performance.

#### Employees

As of September 29, 2018, the Company had approximately 132,000 full-time equivalent employees.





Credits: devoriales.com

Note 3 – Financial Instruments

#### Cash, Cash Equivalents and Marketable Securities

The following tables show the Company's cash, cash equivalents and marketable securities by significant investment category as of December 31, 2022 and September 24, 2022 (in millions):

### Drawbacks of RAG

### • What if retrieval goes wrong?

- Raw documents are highly nonstructured
- Documents are too long
- Complex retrieval
- Ranking is wrong

### What if generation goes wrong?

- Prompt is too complex / long
- Generation doesn't follow instruction / format requirement

	December 31, 2022													
	Adjusted Cost		Unrealized Gains		Unrealized Losses		Fair Value		Cash and Cash Equivalents		Current Marketable Securities		Non-Current Marketable Securities	
Cash	\$	17,908	\$	_	\$	_	\$	17,908	\$	17,908	\$	_	\$	_
Level 1 (1):														
Money market funds		818		_		_		818		818		_		_
Mutual funds		330		2		(40)		292		_		292		_
Subtotal		1,148		2		(40)		1,110		818		292		
Level 2 (2):														
U.S. Treasury securities		24,128		1		(1,576)		22,553		13		9,105		13,435
U.S. agency securities		5,743		_		(643)		5,100		_		310		4,790
Non-U.S. government securities		17,778		14		(1,029)		16,763		_		9,907		6,856
Certificates of deposit and time deposits		2,025		_		_		2,025		1,795		230		_
Commercial paper		237		_		_		237		_		237		_
Corporate debt securities		85,895		14		(7,039)		78,870		1		10,377		68,492
Municipal securities		864		_		(26)		838		_		278		560
Mortgage- and asset-backed securities		22,448		3		(2,405)		20,046		_		84		19,962
Subtotal		159,118		32	(1	12,718)		146,432		1,809		30,528		114,095
Total <sup>(3)</sup>	\$	178,174	\$	34	\$ (1	12,758)	\$	165,450	\$	20,535	\$	30,820	\$	114,095

	September 24, 2022													
	Adjusted Cost		Unrealized Gains		Unrealized Losses		Fair Value		Cash and Cash Equivalents		Current Marketable Securities		Non-Current Marketable Securities	
Cash	\$	18,546	\$	_	\$	_	\$	18,546	\$	18,546	\$	_	\$	_
Level 1 (1):														
Money market funds		2,929		_		_		2,929		2,929		_		_
Mutual funds		274		_		(47)		227		_		227		_
Subtotal		3,203		_		(47)		3,156		2,929	_	227		_
Level 2 (2):														
U.S. Treasury securities		25,134		_	(	1,725)		23,409		338		5,091		17,980
U.S. agency securities		5,823		_		(655)		5,168		_		240		4,928
Non-U.S. government securities		16,948		2	(	1,201)		15,749		_		8,806		6,943
Certificates of deposit and time deposits		2,067		_		_		2,067		1,805		262		_
Commercial paper		718		_		_		718		28		690		_
Corporate debt securities		87,148		9	(	7,707)		79,450		_		9,023		70,427
Municipal securities		921		_		(35)		886		_		266		620
Mortgage- and asset-backed securities		22,553		_	(	2,593)		19,960		_		53	_	19,907
Subtotal		161,312		11	(1	3,916)		147,407		2,171		24,431		120,805
Total (3)	\$	183,061	\$	11	\$ (1	3,963)	\$	169,109	\$	23,646	\$	24,658	\$	120,805

(1) Level 1 fair value estimates are based on quoted prices in active markets for identical assets or liabilities.

(2) Level 2 fair value estimates are based on observable inputs other than quoted prices in active markets for identical assets and liabilities, quoted prices for identical or similar assets or liabilities in inactive markets, or other inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities.

(3) As of December 31, 2022 and September 24, 2022, total marketable securities included \$13.6 billion and \$12.7 billion, respectively, that were restricted from general use, related to the European Commission decision finding that Ireland granted state aid to the Company, and other agreements.

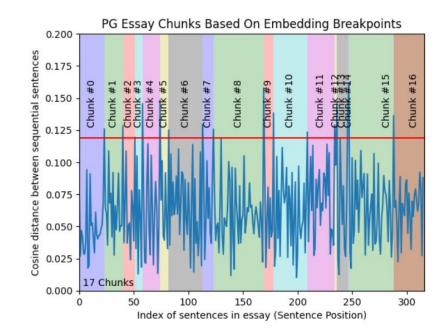
### Looking back on the info retrieval literature

Many IR techniques can be applied to RAG

- Better chunking mechanisms
- Prompt compression
- Learning to rank / re-ranking
- Model selection, finetuning & distillation
- Multi-way retrieval
- Graph RAG

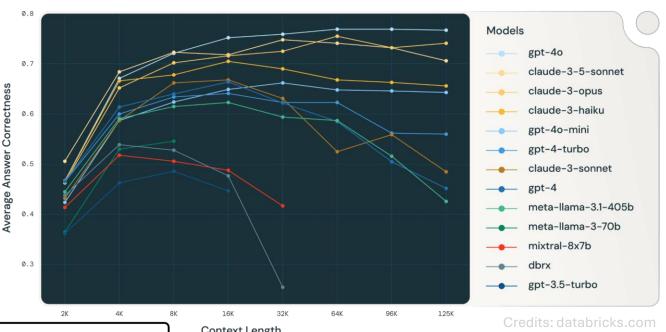
### Better chunking mechanisms

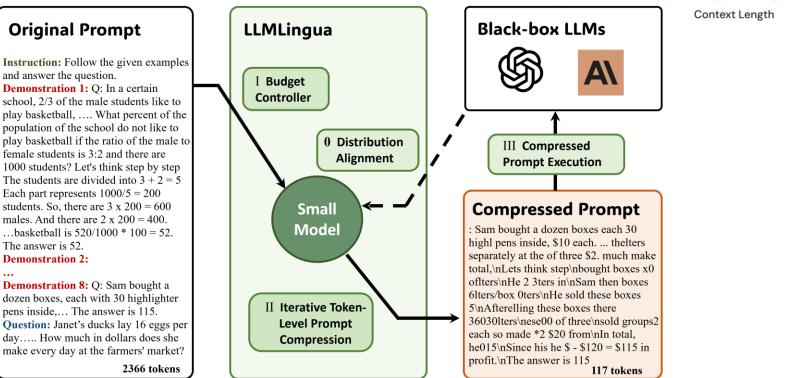
- Besides the simple fix-length chunking, there are many other ways:
  - Overlapping windows to make sure information is captured in some windows
  - Structure-aware chunking to avoid breaking in the middle of paragraphs and sentences
  - Document based chunking that leverages the document property (Markdown, HTML, LaTeX etc.)
  - NLP/Semantic chunking to detect topic changes
  - Agentic chucking uses AI agents to decide if a sentence should be added to the previous chunk.



### **Prompt compression**

- More context = more accurate (at cost)
- LLMLingua EMNLP 2023 (Instruction tuning!)

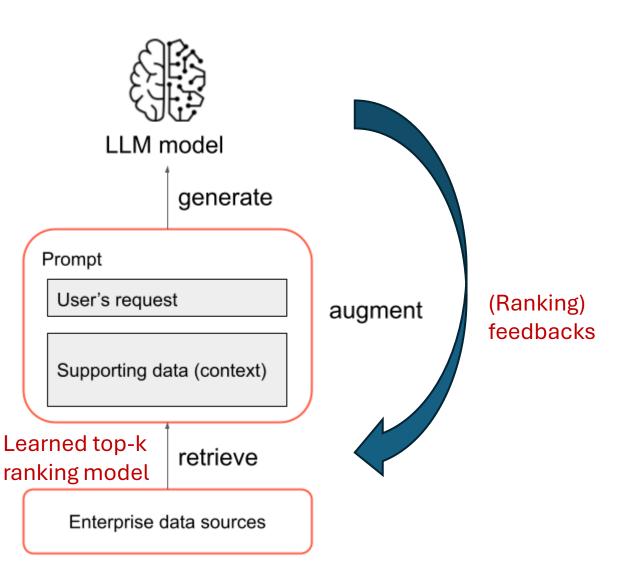




#### **Original Prompt(9-steps Chain-of-Thought):** Prompt compression Question: Sam bought a dozen boxes, each with 30 highlighter pens inside, for \$10 each box. He rearranged five of these boxes into packages of six highlighters each and sold them for \$3 per package. He sold the rest of the highlighters separately at the rate of three pens for \$2. How much profit did he make in total, in dollars? Let's think step by step Sam bought 12 boxes x \$10 = \$120 worth of highlighters. More context = more accurate (at cost) He bought 12 \* 30 = 360 highlighters in total. Sam then took 5 boxes $\times$ 6 highlighters/box = 30 highlighters. He sold these boxes for 5 \* \$3 = \$15After selling these 5 boxes there were 360 - 30 = 330 highlighters remaining. LLMLingua EMNLP 2023 (Instruction tuning!) These form 330 / 3 = 110 groups of three pens. He sold each of these groups for \$2 each, so made 110 \* 2 = \$220 from them. In total, then, he earned 220 + 15 = 235. Since his original cost was \$120, he earned \$235 - \$120 = \$115 in profit. Black-box LLN The answer is 115 **Original Prompt** LLMLingua **Instruction:** Follow the given examples and answer the question. I Budget **Demonstration 1:** Q: In a certain **Compressed Prompt:** Controller school, 2/3 of the male students like to : Sam bought a dozen boxes each 30 highl pens inside, \$10 each. He reanged five of boxes into of play basketball, .... What percent of the population of the school do not like to six each \$3 per. He sold the thelters separately at the of three \$2. much make total, play basketball if the ratio of the male to 0 Distribution III **Compressed** Lets think step female students is 3:2 and there are Alignment **Prompt Executic** bought boxes x0 offters 1000 students? Let's think step by step He 2 3ters in The students are divided into 3 + 2 = 5Each part represents 1000/5 = 200Sam then boxes 6lters/box 0ters **Compressed Pi** He sold these boxes 5 students. So, there are $3 \times 200 = 600$ Small males. And there are $2 \ge 200 = 400$ . Model : Sam bought a dozen box Afterelling these boxes there 36030lters ...basketball is 520/1000 \* 100 = 52. highl pens inside, \$10 each ese00 of three The answer is 52. separately at the of three \$ **Demonstration 2:** total, \nLets think step \nbo sold groups2 each so made \*2 \$20 from oflters\nHe 2 3ters in\nSan In total, he015 **Demonstration 8:** Q: Sam bought a 6lters/box 0ters\nHe sold t Since his he \$ - \$120 = \$115 in profit. dozen boxes, each with 30 highlighter II Iterative Tokenpens inside,... The answer is 115. **Question:** Janet's ducks lay 16 eggs per Level Prompt each so made \*2 \$20 from\nIn total. day..... How much in dollars does she Compression he015\nSince his he \$ - \$120 = \$115 in make every day at the farmers' market? profit.\nThe answer is 115 2366 tokens 117 tokens

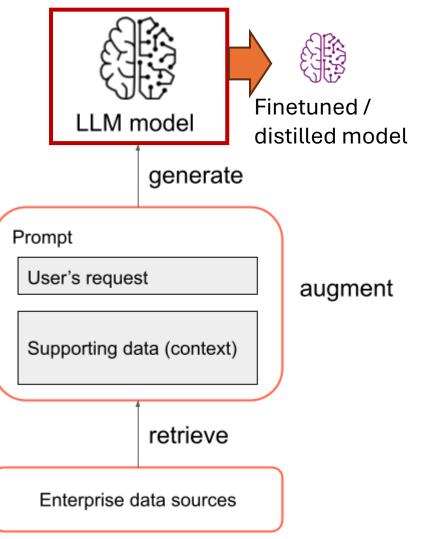
### Learning to rank / re-ranking

- The "retrieval" part can be improved by using a learned top-k ranking model (should be cheaper than the later LLM)
- Automatic and free labels from previous runs
- Reduces context length requirements (improve P@K)

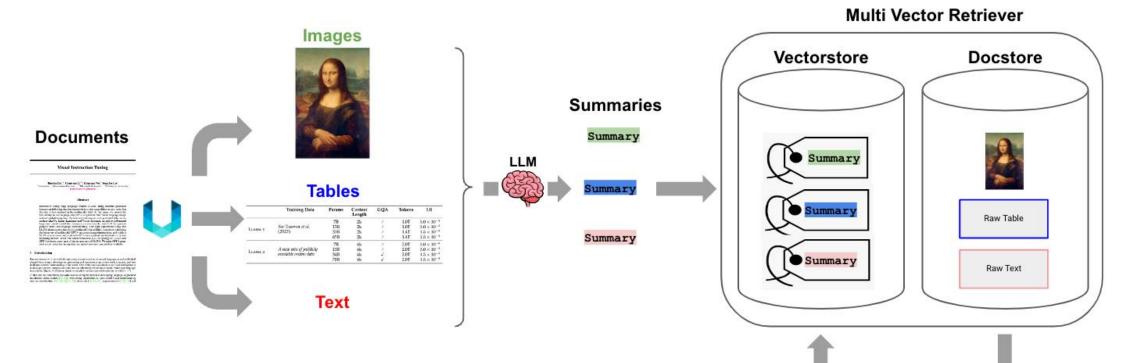


## Model selection, finetuning & distillation

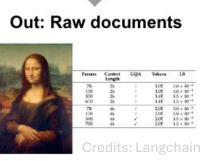
- Finetune or distill the generation model in order to reduce size, adapt to formatting requirements.
   e.g., collect RAG outputs from Llama 70b and send them to finetune Llama 13b
- Or for different queries, use different generation models
- Further, we can propagate the gradients to the embedding phrase, and finetune embedding models



### Multi-vector retrieval



- Classic RAG falls short for complex, multi-modal datasets
- Use different embedding models for inputs of different modality



In:

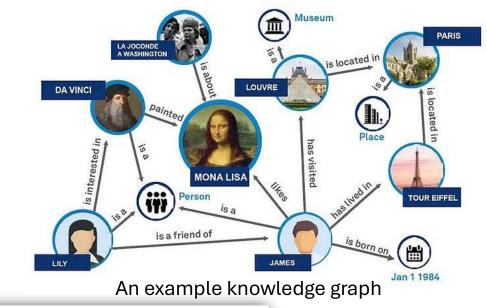
Question

# Graph RAG

- Classic RAG approaches do not consider links between entities. ٠
- They also have a wholistic view of the dataset (with simple ٠ similar search)
- Given a private dataset, GraphRAG from Microsoft generates the ٠ knowledge graph using LLMs, and retrieve for relevant content for new RAG queries.

Query: "What has Novorossiya done?"

Baseline RAG	GraphRAG						
he text does not provide specific information on what Novorossiya has done.	Novorossiya, a political movement in Ukraine, has been involved in a series of destructive activities, particularly targeting various entities in Ukraine [Entities (6494, 912)]. The movement has been linked to plans to destroy properties of several Ukrainian entities, including Rosen, the Odessa Canning Factory, the Odessa Regional Radio Television Transmission Center, and the National Television Company of Ukraine [Relationships (15207, 15208, 15209, 15210)]. In addition to these, Novorossiya has also been associated with plans to destroy properties of Roshen, the Odessa Conservatory Factory, the Odesa Regional Radio Television Transmission Center, and the National Television Transmission Center, and the National Telecommunications Company of Ukraine [Relationships (8329, 8330, 8331, 8332)]. Furthermore, Novorossiya has been implicated in plans to blow up ATMs of PrivatBank, the largest state bank in Ukraine [Relationships (8335, 8335)].						
	The Office of the General Prosecutor in Ukraine has reported on the creation of Novorossiya, indicating the government's awareness and potential concern over the activities of this movement [Relationships (8264, 8264)]. The destructive activities of Novorossiya have had significant impacts on the targeted entities and have likely contributed to the ongoing tensions and conflicts in the region. In summary, Novorossiya has been involved in a series of destructive activities targeting various entities in Ukraine. These activities have had significant impacts on the targeted entities and have likely contributed to the ongoing tensions and conflicts in the region. The Ukrainian government, through the Office of the General Prosecutor, has acknowledged the existence and activities of Novorossiya indicating a level of concern over the movement's actions.						



### What's more: raw documents in RAG

- Parsing & cleaning raw documents into structured data is often challenging: noisy, unstructured, long documents
- Long-context vs RAG ٠
  - Long-context LLMs: simple (for developers) but often more expensive (for users), can lost in the middle
  - **RAG:** cheaper, deterministic security, easier to debug, up-• to-date info
- Our recent work UDA: A Benchmark Suite for Retrieval Augmented • Generation in Real-world Document Analysis. NeurIPS 2024.
  - Studied ~3K real-world documents with ~30K annotated QA pairs. ٠
  - Many existing RAG solutions assume clean & structured inputs, which ٠ results in accuracy degrade.
  - Small models already work well in certain RAG applications. ٠
  - Long-context LLMs often fall short in some tasks that require ٠ numerical reasoning.
  - Access: https://github.com/ginchuanhui/UDA-Benchmark ٠

#### Note 3 – Financial Instrument

#### Cash, Cash Equivalents and Marketable Securities

The following tables show the Company's cash, cash equivalents and marketable securities by significant investment category as of December 31, stombor 24, 2022 (in millions

	September 24, 2022 (in millions):				December 31,	2022	-	
		Adjusted Cost	Unrealized Gains	Unrealized Losses	Fair Value	Cash and Cash Equivalents	Current Marketable Securities	Non-Current Marketable Securities
	Cash		\$ _	\$ -	\$ 17,908	\$ 17,908	<u>s                                    </u>	<u>s                                    </u>
RAG	Level 1 <sup>(1)</sup> : Money market funds	818			818	818		
	Mutual funds	330	2	(40)	292		292	_
	Subtotal	1,148	2	(40)	1,110	818	292	
	Level 2 <sup>(2)</sup> :							
	U.S. Treasury securities U.S. agency securities	24,128 5,743	1	(1,576) (643)	22,553 5,100	13	9,105 310	13,435 4,790
	Non-U.S. government securities	17,778	14	(1,029)	16,763	-	9,907	6,856
	Certificates of deposit and time deposits	2,025	_	_	2,025	1,795	230	-
	Commercial paper Corporate debt securities	237 85,895		(7,039)	237 78,870	1	237 10,377	68,492
	Municipal securities	864	14	(7,039)	78,870	-	10,377	560
	Mortgage- and asset-backed securities	22,448	3	(2,405)	20,046	-	84	19,962
	Subtotal	159,118	32	(12,718)	146,432	1,809	30,528	114,095
	Total (3)	\$ 178,174	\$ 34	\$ (12,758)	\$ 165,450	\$ 20,535	\$ 30,820	\$ 114,095
				$\wedge$	September 24,	2022		
		Adlusted	Unrealized		Fala	Cash and	Current Marketable	Non-Current Marketable
December 31, 2022	-	Adjusted	Unrealized	200	Fair	Cash	Securities	Securities
Adjusted						546	s —	s –
Cost		•				929		
Unrealized						929	227	_
Gains						929	227	
Unrealized								
Losses						338	5,091 240	17,980
Fair			-			-	8,806	4,928 6,943
Value	Dire	ect co	nv 8	l na	ete	805	262	-
Cash and	Dire		'Py C	x pa	SIC	28	690	_
Cash							9,023	70,427
Equivalents						_	53	19,907
Current						171	24,431	120,805
Marketable						646	\$ 24,658	\$ 120,805
Securities						_		
Non-Current						_		
Marketable							l liabilities, quoted	
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	-backed securities 22,448	3 (2,405) 2	0.046 - 8	4 19,962				
	(12,718) 146,432 1,809 30,			1 10,000				
	4 \$ (12,758) \$ 165,450 \$ 20			. 095				
September 24, 2022	1 + (12),000, + 100,100 + 20	.,		,000		_		
Adjusted						_		
Cost						_		
Unrealized								
Gains								
Unrealized						_		
Losses								
Fair								
Value								
Cash and								
Cash								
Equivalents Current								
Marketable								
Securities								

## Application systems: outline

- Server design
- Retrieval Augmented Generation (RAG)
- Al agents

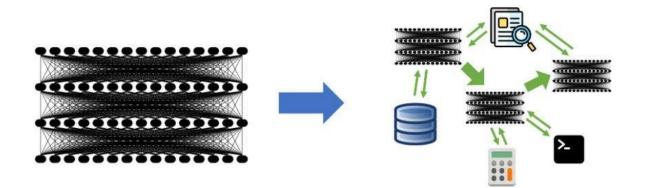
### What are future AI applications like?

### Generative

Generate content like text & image

### Agentic

Execute complex tasks on behalf of human



Zaharia et al. 2024. The Shift from Models to Compound Al Systems

# **Examples of agentic Al**

- Personal assistants
- Autonomous robots
- Gaming agents
- Science agents
- Web agents
- Software agents

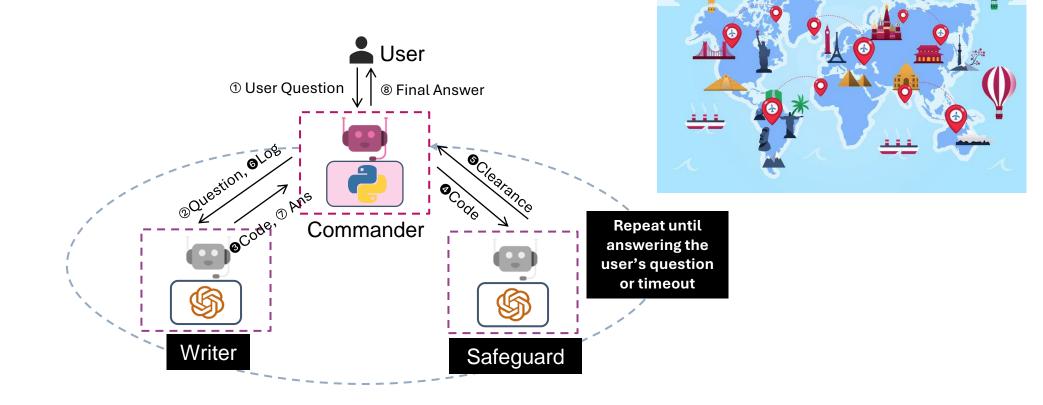


# Key benefits of agentic AI

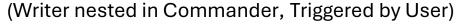
- Useful Interface
  - Natural interaction with human agency
- Strong Capability
  - Operate with minimal human intervention
- Useful Architecture
  - Intuitive programming paradigm

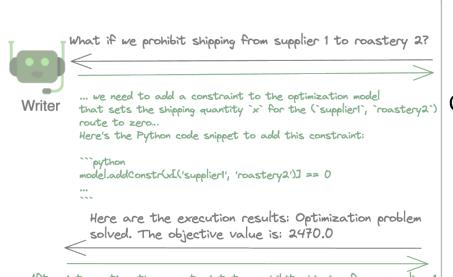
## Example workflow of agentic AI

What if we prohibit shipping from supplier 1 to roastery 2?



## Example workflow of agentic Al



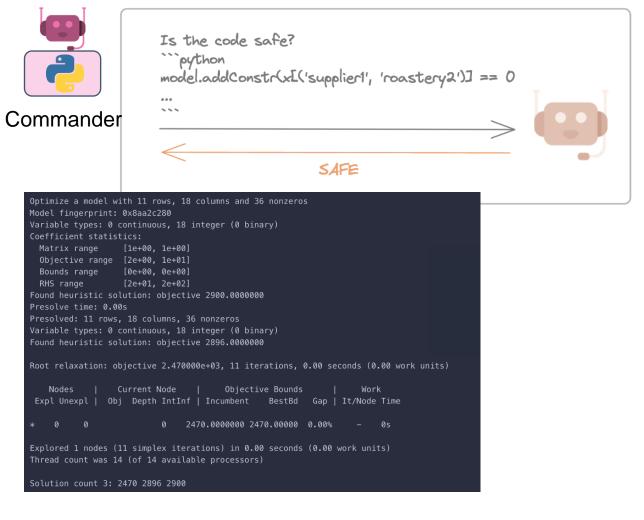


After integrating the constraint to prohibit shipping from supplier to roastery 2, the optimization problem was solved with a total cost of \$2470.0... we need to compare it with the original total cost prior to the implementation of the new constraint

What if we prohibit shipping from supplier 1 to roastery 2?

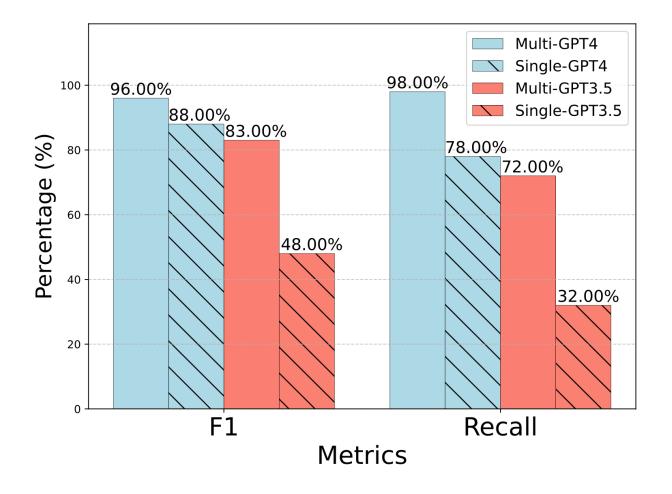
User

(Safeguard nested in Commander, Triggered by Writer)



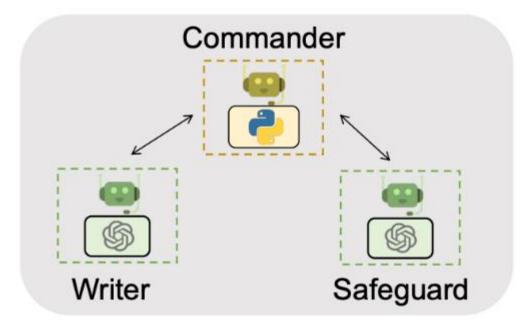
# Agentic programming

- Handle more complex tasks / Improve response quality
  - Improve over natural iteration
  - O Divide & conquer
  - Grounding & validation



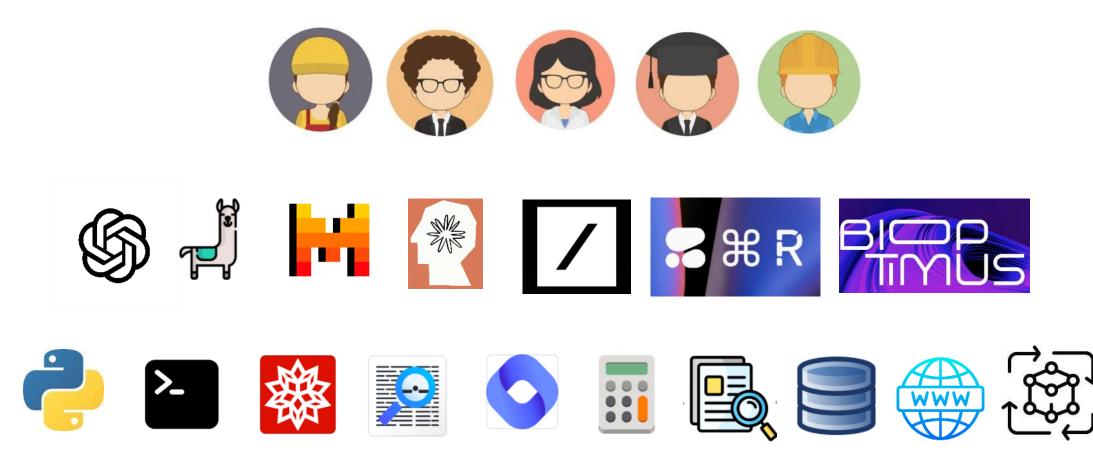
# Agentic programming

- Easy to understand, maintain, extend
  - Modular composition
  - Natural human participation
  - Fast & creative experimentation



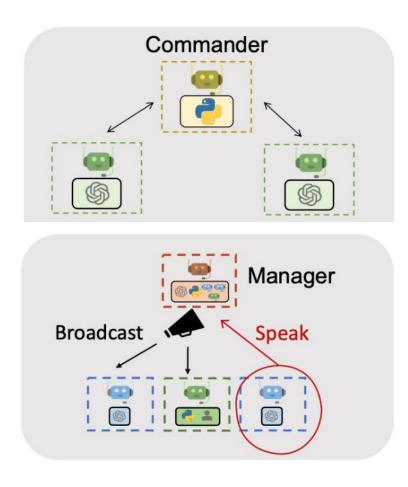
Agentic abstraction

### Unify models, tools, human for compound AI systems



### **Multi-agent orchestration**

- Static/dynamic
- NL/PL
- Context sharing/isolation
- Cooperation/competition
- Centralized/decentralized
- Intervention/automation



## Agentic design patterns

- Conversation
- Prompting & reasoning
- Tool use
- Planning
- Integrating multiple models, modalities and memories

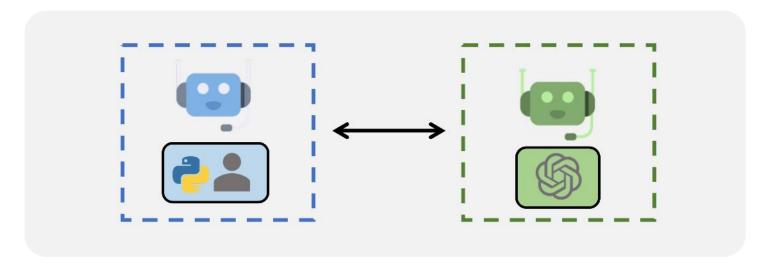
How Talk Can Change Your Life





THEODORE ZELDIN

# AutoGen: a programming framework for agentic AI

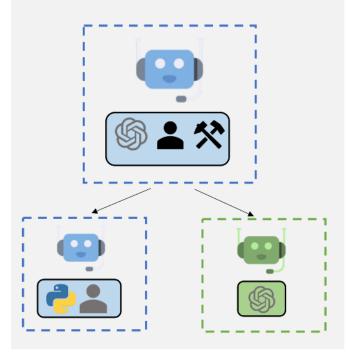


Initially developed in FLAML (Nov 2022) Spined off to a standalone repo (October 2023) Standalone GitHub organization AutoGen-Al (August 2024)

https://github.com/autogen-ai

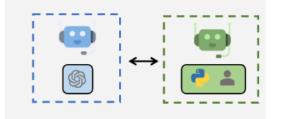
#### Define agents: Conversable & Customizable

Conversable agent

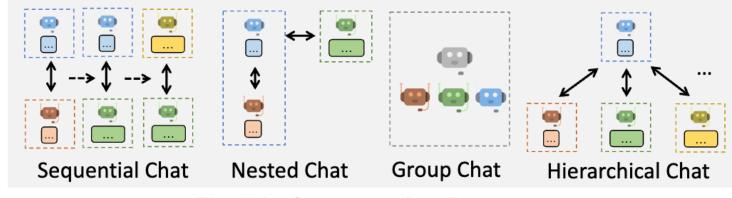


**Agent Customization** 

#### Get them to talk: Conversation Programming



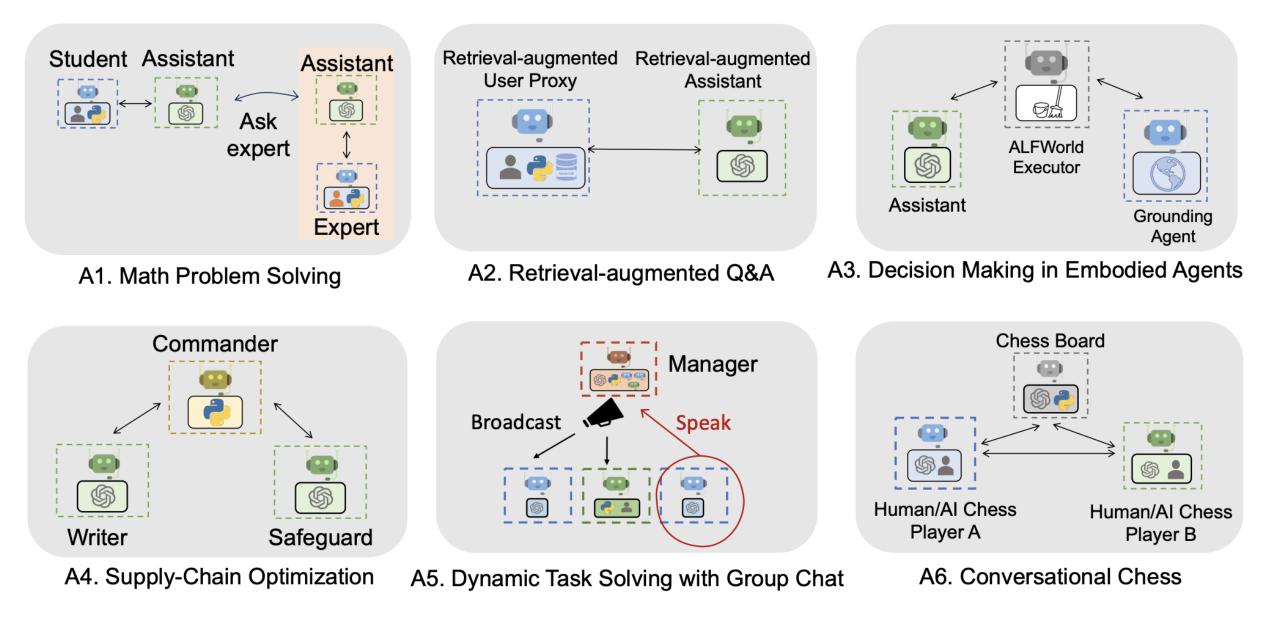
**Multi-Agent Conversations** 



**Flexible Conversation Patterns** 

## Simple programming interface

chat\_res = user.*initiate\_chat*(commander, message="What if we prohibit shipping from supplier 1 to roastery 2?")



For more examples: https://autogen-ai.github.io/autogen/docs/notebooks

## Blogpost writing with reflection

max\_turns=2,

summary\_method="last\_msg"

#### **Two-Agent Reflection**

```
writer = autogen.AssistantAgent(
    name="Writer",
    system_message="You are a writer...",
    llm_config=llm_config,
)
critic = autogen.AssistantAgent(
    name="Critic",
    is_termination_msg=lambda x: x.get("content", "").find("TERMINATE") >= 0,
    llm_config=llm_config,
    system_message="You are a critic...",
)
critic.initiate_chat(
    recipient=writer,
    message=task,
```





critic



Discover the power of AI with agentic workflow! ...

writer

The blogpost can be improved by including some specific examples or use cases...



Explore the transformative power of AI models with agentic workflow with the following use caes.

•••

## Blogpost writing with advanced reflection





Write a concise but engaging blogpost about AI Agents







writer

Discover the power of AI with agentic workflow! ...

Overall, the SEO Reviewer suggests ... the legal reviewer suggests ...

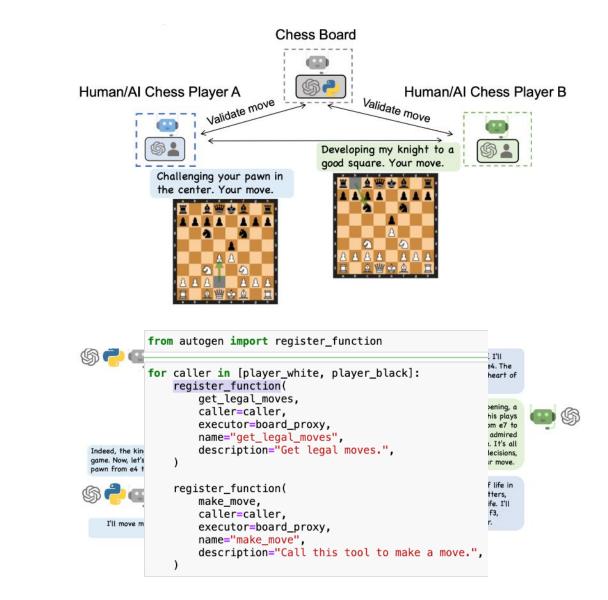
In conclusion, it is essential to ...



Explore the transformative power of AI models with agentic workflow with AutoGen on the following use cases ...

#### **Nested Chat**

### **Conversational chess**





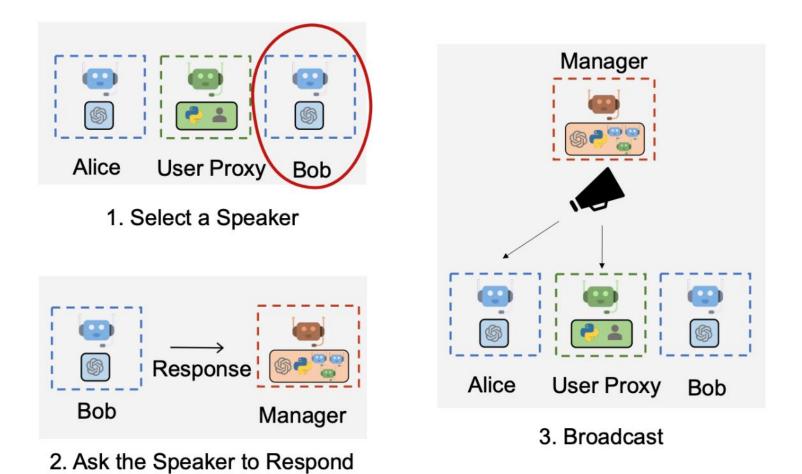
\*

+ Tool Using

.

\$

# Complex task planning and solving with group chat



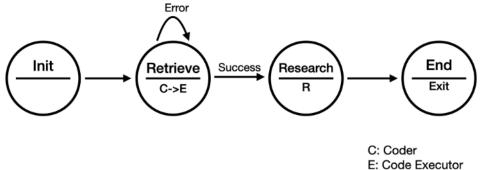
### Complex task planning and solving with group chat

#### StateFlow - Build State-Driven Workflows with Customized Speaker Selection in GroupChat

February 29, 2024 · 7 min read

Yiran Wu PhD student at Pennsylvania State University

**TL;DR:** Introduce Stateflow, a task-solving paradigm that conceptualizes complex task-solving processes backed by LLMs as state machines. Introduce how to use GroupChat to realize such an idea with a customized speaker selection function.





def state\_transition(last\_speaker, groupchat):
 messages = groupchat.messages

```
if last speaker is initializer:
    # init -> retrieve
    return coder
elif last speaker is coder:
    # retrieve: action 1 -> action 2
    return executor
elif last speaker is executor:
    if messages[-1]["content"] == "exitcode: 1":
        # retrieve --(execution failed)--> retrieve
        return coder
    else:
        # retrieve ---(execution success)--> research
        return scientist
elif last speaker == "Scientist":
    # research -> end
    return None
```

groupchat = autogen.GroupChat(

agents=[initializer, coder, executor, scientist],
messages=[],

max\_round=20,

speaker\_selection\_method=state\_transition,



#### SCIAGENTS: AUTOMATING SCIENTIFIC DISCOVERY THROUGH MULTI-AGENT INTELLIGENT GRAPH REASONING

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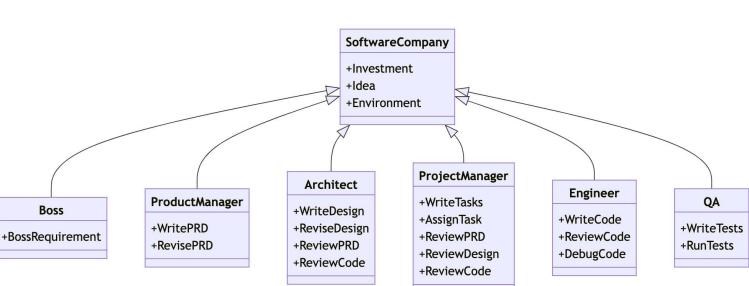
#### ABSTRACT

A key challenge in artificial intelligence is the creation of systems capable of autonomously advancing scientific understanding by exploring novel domains, identifying complex patterns, and uncovering previously unseen connections in vast scientific data. In this work, we present SciAgents, an approach that leverages three core concepts: (1) the use of large-scale ontological knowledge graphs to organize and interconnect diverse scientific concepts, (2) a suite of large language models (LLMs) and data retrieval tools, and (3) multi-agent systems with in-situ learning capabilities. Applied to biologically inspired materials, SciAgents reveals hidden interdisciplinary relationships that were previously considered unrelated, achieving a scale, precision, and exploratory power that surpasses traditional human-driven research methods. The framework autonomously generates and refines research hypotheses, elucidating underlying mechanisms, design principles, and unexpected material properties. By integrating these capabilities in a modular fashion, the intelligent system yields material discoveries, critique and improve existing hypotheses, retrieve up-to-date data about existing research, and highlights their strengths and limitations. Our case studies demonstrate scalable capabilities to combine generative AI, ontological representations, and multi-agent modeling, harnessing a 'swarm of intelligence' similar to biological systems. This provides new avenues for materials discovery and accelerates the development of advanced materials by unlocking Nature's design principles.

 $\label{eq:keywords} \begin{array}{l} \mbox{Keywords Scientific AI \cdot Multi-agent system \cdot Large language model \cdot Natural language processing \cdot Materials design \cdot Bio-inspired materials \cdot Knowledge graph \cdot Biological design \\ \end{array}$ 

## Other multi-agent systems





ChatDev

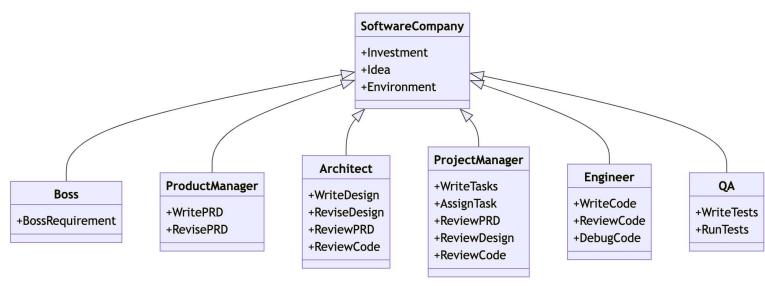
MetaGPT

# Other multi-agent systems



Many solutions are more application/software engineering oriented. Lots research opportunities like

- Result interpretability and controllability
- Scalability
- Some guarantee & trustworthy AI
- Collaboration among RL- and LLM- agents



MetaGPT

#### ChatDev

## **Overview**

- Server design
- Retrieval Augmented Generation (RAG)
- Al agents